

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

| | |
|--|------------------------|
| -----x | |
| In re | : |
| | : |
| LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> , | : |
| | : |
| Debtors. | : |
| | : |
| -----x | |
| | Chapter 11 Case No. |
| | 08-13555 (JMP) |
| | (Jointly Administered) |
| | Ref. Docket No. 31829 |

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 9, 2012, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
15th day of November, 2012

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HFR ED SELECT FUND IV MASTER TRUST DTD 7/16/01
TRANSFEROR: CITIGROUP GLOBAL MARKETS INC.
C/O YORK CAPITAL MANAGEMENT
ATTN: MARGARET MAURO
767 FIFTH AVENUE, 17TH FLOOR
NEW YORK NY 10153

Additional:

Transferee: MERRILL, LYNCH, PIERCE, FENNER & SMITH INC.
214 N TYRON STREET
NC1-027-14-01
CHARLOTTE NC 28255

Your transfer of claim # 50285-02 is defective for the reason(s) checked below:

Other TRANSFEROR NAME DISCREPANCY

Docket Number 31829

Date 11/02/12

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 9, 2012.

EXHIBIT B

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

TIME: 17:02:53
DATE: 11/09/12

Name Address

HFR ED SELECT FUND IV MASTER TRUST DTD
7/16/01
MERRILL, LYNCH, PIERCE, FENNER & SMITH
INC.

TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR
NEW YORK NY 10153
214 N TYRON STREET NC1-027-14-01 CHARLOTTE NC 28255

Total Number of Records Printed

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EPIQ BANKRUPTCY SOLUTIONS, LLC